

Form 210A (10/06)

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Deutsche Bank AG, London Branch

Name of Transferor

The Royal Bank of Scotland Plc

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch  
Winchester House, 1 Great Winchester Street  
London EC2N 2DB  
Tel: +44 20 7547 2400  
Fax: +44 113 336 2010  
Attention: Michael Sutton  
E-mail: Michael.sutton@db.com

Court Claim # (if known): See attached Schedule

Amount of Claim (transferred):

(i) See attached Schedule

(plus all interest, costs and fees relating to these claims)

Date Claim Filed: See attached Schedule

Tel: N/A

Last Four Digits of Acct. #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Tel: +44 20 7547 2400  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Transferee/Transferee's Agent

Date: 14 JUNE 2017

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

**Alex Darbyshire**  
Vice President

**Simon Glennie**  
Director

ISIN/CUSIP	LBHI Claim Number	Date Claim Filed	Principal/Notional Amount
XS0331504745	40647	Oct 16, 2009	USD 130,000.00
XS0326608279	41347	Oct 19, 2009	USD 140,000.00
XS0326708491	42084	Oct 19, 2009	USD 420,000.00
XS0349511872	42161	Oct 19, 2009	USD 400,000.00
XS0331769538	43050	Oct 21, 2009	USD 250,000.00
XS0327940929	43114	Oct 21, 2009	AUD 130,000.00
XS0334922860	43809	Oct 22, 2009	HKD 500,000.00
XS0326608279	44051	Oct 22, 2009	USD 90,000.00
XS0348407411	44052	Oct 22, 2009	HKD 900,000.00
XS0339560293	44075	Oct 22, 2009	USD 130,000.00
XS0334922860	44190	Oct 22, 2009	HKD 100,000.00
XS0337553688	44192	Oct 22, 2009	USD 100,000.00
XS0331400530	44193	Oct 22, 2009	USD 20,000.00
XS0331400027	44194	Oct 22, 2009	USD 20,000.00
XS0331769538	44195	Oct 22, 2009	USD 40,000.00
XS0327940929	44291	Oct 22, 2009	AUD 150,000.00
XS0339560293	44292	Oct 22, 2009	USD 170,000.00
XS0339560376	44293	Oct 22, 2009	HKD 370,000.00
XS0336927909	44297	Oct 22, 2009	USD 200,000.00
XS0341160082	44530	Oct 22, 2009	USD 50,000.00
XS0326026498	44532	Oct 22, 2009	HKD 1,000,000.00
XS0331400530	44993	Oct 23, 2009	USD 300,000.00
XS0336415913	44994	Oct 23, 2009	USD 260,000.00
XS0331400027	44995	Oct 23, 2009	USD 300,000.00
XS0326608279	44996	Oct 23, 2009	USD 330,000.00
XS0349511872	44997	Oct 23, 2009	USD 250,000.00
XS0331400530	45130	Oct 23, 2009	USD 100,000.00
XS0331769454	45132	Oct 23, 2009	USD 300,000.00
XS0338525172	45133	Oct 23, 2009	USD 180,000.00
XS0337553688	45134	Oct 23, 2009	USD 380,000.00
XS0341160082	45135	Oct 23, 2009	USD 380,000.00
XS0326026498	45136	Oct 23, 2009	HKD 2,900,000.00
XS0327940929	45246	Oct 23, 2009	AUD 200,000.00
XS0336415913	45263	Oct 23, 2009	USD 700,000.00
XS0336927909	45271	Oct 23, 2009	USD 110,000.00
XS0326608279	45273	Oct 23, 2009	USD 130,000.00
XS0331769538	45275	Oct 23, 2009	USD 130,000.00
XS0325786084	45276	Oct 23, 2009	HKD 3,000,000.00
XS0331769454	45289	Oct 23, 2009	USD 160,000.00
XS0326026225	45296	Oct 23, 2009	USD 70,000.00
XS0326708491	45396	Oct 23, 2009	USD 150,000.00
XS0331769538	45401	Oct 23, 2009	USD 100,000.00
XS0331769538	45405	Oct 23, 2009	USD 430,000.00
XS0348407411	45443	Oct 23, 2009	HKD 500,000.00
XS0349512250	45444	Oct 23, 2009	HKD 300,000.00
XS0334922860	45445	Oct 23, 2009	HKD 200,000.00
XS0331769454	45447	Oct 23, 2009	USD 40,000.00
XS0336927909	45597	Oct 23, 2009	USD 100,000.00
XS0348407411	45751	Oct 26, 2009	HKD 3,000,000.00
XS0348407411	45752	Oct 26, 2009	HKD 1,000,000.00
XS0336927909	45760	Oct 26, 2009	USD 100,000.00
XS0348407411	45766	Oct 26, 2009	HKD 1,000,000.00
XS0335743398	45767	Oct 26, 2009	HKD 500,000.00
XS0336645089	45768	Oct 26, 2009	USD 160,000.00
XS0348407411	45771	Oct 26, 2009	HKD 3,000,000.00
XS0349512250	45777	Oct 26, 2009	HKD 900,000.00
XS0326026225	45799	Oct 26, 2009	USD 30,000.00

XS0335743398	45800	Oct 26, 2009	HKD	520,000.00
XS0336250229	45801	Oct 26, 2009	USD	200,000.00
XS0326866307	45802	Oct 26, 2009	HKD	510,000.00
XS0328586515	45803	Oct 26, 2009	USD	20,000.00
XS0326708491	45804	Oct 26, 2009	USD	20,000.00
XS0331400027	45805	Oct 26, 2009	USD	20,000.00
XS0341160082	45806	Oct 26, 2009	USD	20,000.00
XS0336927909	45807	Oct 26, 2009	USD	20,000.00
XS0335743398	45814	Oct 26, 2009	HKD	2,000,000.00
XS0348407411	45824	Oct 26, 2009	HKD	4,000,000.00
XS0336415913	45836	Oct 26, 2009	USD	70,000.00
XS0326608279	45837	Oct 26, 2009	USD	100,000.00
XS0326026498	45838	Oct 26, 2009	HKD	800,000.00
XS0325786084	45839	Oct 26, 2009	HKD	800,000.00
XS0336250229	45843	Oct 26, 2009	USD	160,000.00
XS0326608352	45854	Oct 26, 2009	HKD	2,000,000.00
XS0335743398	45866	Oct 26, 2009	HKD	1,220,000.00
XS0332153807	45894	Oct 26, 2009	HKD	780,000.00
XS0326866571	45916	Oct 26, 2009	USD	100,000.00
XS0336415913	45918	Oct 26, 2009	USD	70,000.00
XS0326608352	45919	Oct 26, 2009	HKD	500,000.00
XS0329337348	45920	Oct 26, 2009	HKD	400,000.00
XS0349511872	46870	Oct 26, 2009	USD	100,000.00
XS0331769454	46892	Oct 26, 2009	USD	400,000.00
XS0335743398	46918	Oct 26, 2009	HKD	1,000,000.00
XS0326608352	46944	Oct 26, 2009	HKD	2,500,000.00
XS0338525172	47065	Oct 26, 2009	USD	160,000.00
XS0325786084	47351	Oct 26, 2009	HKD	1,200,000.00
XS0339560376	47352	Oct 26, 2009	HKD	500,000.00
XS0349512250	47353	Oct 26, 2009	HKD	1,200,000.00
XS0331504745	47361	Oct 26, 2009	USD	70,000.00
XS0334922860	47362	Oct 26, 2009	HKD	500,000.00
XS0336250229	47373	Oct 26, 2009	USD	80,000.00
XS0335743398	47374	Oct 26, 2009	HKD	500,000.00
XS0325786084	47380	Oct 26, 2009	HKD	500,000.00
XS0329337348	47501	Oct 27, 2009	HKD	300,000.00
XS0331400027	47608	Oct 27, 2009	USD	120,000.00
XS0326608352	47619	Oct 27, 2009	HKD	5,630,000.00
XS0326026225	47741	Oct 27, 2009	USD	80,000.00
XS0326866307	47742	Oct 27, 2009	HKD	2,100,000.00
XS0326026498	47750	Oct 27, 2009	HKD	400,000.00
XS0331400027	47751	Oct 27, 2009	USD	50,000.00
XS0335743398	47753	Oct 27, 2009	HKD	500,000.00
XS0339560376	47754	Oct 27, 2009	HKD	500,000.00
XS0326608279	47878	Oct 27, 2009	USD	200,000.00
XS0326608352	47879	Oct 27, 2009	HKD	1,500,000.00
XS0326866307	47979	Oct 27, 2009	HKD	540,000.00
XS0331400027	48604	Oct 27, 2009	USD	30,000.00
XS0331400530	48605	Oct 27, 2009	USD	30,000.00
XS0331769454	48606	Oct 27, 2009	USD	50,000.00
XS0335743398	48608	Oct 27, 2009	HKD	1,000,000.00
XS0339560293	48651	Oct 27, 2009	USD	80,000.00
XS0334922860	48652	Oct 27, 2009	HKD	470,000.00
XS0326608279	48667	Oct 27, 2009	USD	30,000.00
XS0328586515	48744	Oct 27, 2009	USD	200,000.00
XS0331769538	49430	Oct 27, 2009	USD	170,000.00
XS0336250229	49467	Oct 27, 2009	USD	200,000.00
XS0336927909	49518	Oct 27, 2009	USD	180,000.00
XS0326026498	49525	Oct 27, 2009	HKD	650,000.00

XS0326026225	49575	Oct 27, 2009	USD	130,000.00
XS0331769538	49580	Oct 27, 2009	USD	100,000.00
XS0331769454	49965	Oct 27, 2009	USD	150,000.00
XS0325841368	49966	Oct 27, 2009	USD	100,000.00
XS0336927909	50340	Oct 28, 2009	USD	180,000.00
XS0331769538	50382	Oct 28, 2009	USD	350,000.00
XS0334922860	50669	Oct 28, 2009	HKD	1,000,000.00
XS0331400530	50678	Oct 28, 2009	USD	140,000.00
XS0331400027	50684	Oct 28, 2009	USD	170,000.00
XS0336250229	50703	Oct 28, 2009	USD	200,000.00
XS0331400530	50835	Oct 28, 2009	USD	50,000.00
XS0326026498	50836	Oct 28, 2009	HKD	500,000.00
XS0335743398	50873	Oct 28, 2009	HKD	1,000,000.00
XS0331769538	50879	Oct 28, 2009	USD	120,000.00
XS0326026498	50885	Oct 28, 2009	HKD	1,500,000.00
XS0331400530	50889	Oct 28, 2009	USD	110,000.00
XS0331769454	50898	Oct 28, 2009	USD	130,000.00
XS0329337348	50899	Oct 28, 2009	HKD	1,000,000.00
XS0331769538	50904	Oct 28, 2009	USD	100,000.00
XS0369799845	51250	Oct 28, 2009	USD	75,000.00
XS0369799845	51251	Oct 28, 2009	USD	80,000.00
XS0348407411	51601	Oct 28, 2009	HKD	500,000.00
XS0334922860	51602	Oct 28, 2009	HKD	1,000,000.00
XS0349512250	51628	Oct 28, 2009	HKD	1,800,000.00
XS0327940929	51772	Oct 28, 2009	AUD	200,000.00
XS0331504745	51773	Oct 28, 2009	USD	130,000.00
XS0331769538	55132	Oct 29, 2009	USD	220,000.00
XS0369799845	55137	Oct 29, 2009	USD	65,000.00
XS0331400530	55154	Oct 29, 2009	USD	540,000.00
XS0349511872	55213	Oct 29, 2009	USD	500,000.00
XS0331400027	55214	Oct 29, 2009	USD	960,000.00
XS0349511872	55222	Oct 29, 2009	USD	150,000.00
XS0336250229	55282	Oct 29, 2009	USD	60,000.00
XS0325786084	55283	Oct 29, 2009	HKD	500,000.00
XS0326608279	56038	Oct 29, 2009	USD	200,000.00
XS0336645089	56521	Oct 29, 2009	USD	150,000.00
XS0331400530	56522	Oct 29, 2009	USD	140,000.00
XS0331504745	56727	Oct 29, 2009	USD	80,000.00
XS0336927909	56728	Oct 29, 2009	USD	70,000.00
XS0327940929	56967	Oct 29, 2009	AUD	280,000.00
XS0331769454	56968	Oct 29, 2009	USD	20,000.00
XS0325841368	57068	Oct 30, 2009	USD	100,000.00
XS0326608279	57070	Oct 30, 2009	USD	220,000.00
XS0332153807	57072	Oct 30, 2009	HKD	800,000.00
XS0327940929	57100	Oct 30, 2009	AUD	200,000.00
XS0348407411	57110	Oct 30, 2009	HKD	1,000,000.00
XS0336250229	57134	Oct 30, 2009	USD	50,000.00
XS0331400530	57135	Oct 30, 2009	USD	40,000.00
XS0326608436	57136	Oct 30, 2009	USD	50,000.00
XS0327859301	57137	Oct 30, 2009	HKD	580,000.00
XS0349511872	57187	Oct 30, 2009	USD	90,000.00
XS0336250229	57196	Oct 30, 2009	USD	20,000.00
XS0325841368	57198	Oct 30, 2009	USD	40,000.00
XS0326608279	57199	Oct 30, 2009	USD	30,000.00
XS0326608436	57209	Oct 30, 2009	USD	120,000.00
XS0339560376	57210	Oct 30, 2009	HKD	1,030,000.00
XS0336927909	57212	Oct 30, 2009	USD	100,000.00
XS0326608436	57213	Oct 30, 2009	USD	150,000.00
XS0334922860	57230	Oct 30, 2009	HKD	500,000.00

XS0325786084	57231	Oct 30, 2009	HKD	620,000.00
XS0326708491	57232	Oct 30, 2009	USD	40,000.00
XS0338525172	57235	Oct 30, 2009	USD	100,000.00
XS0331769538	57238	Oct 30, 2009	USD	130,000.00
XS0350571377	57257	Oct 30, 2009	USD	130,000.00
XS0326608279	57259	Oct 30, 2009	USD	130,000.00
XS0336415913	57260	Oct 30, 2009	USD	50,000.00
XS0331400530	57262	Oct 30, 2009	USD	70,000.00
XS0331504745	57263	Oct 30, 2009	USD	30,000.00
XS0336645089	57264	Oct 30, 2009	USD	150,000.00
XS0326608436	57265	Oct 30, 2009	USD	100,000.00
XS0336927909	57266	Oct 30, 2009	USD	210,000.00
XS0325786084	57269	Oct 30, 2009	HKD	1,000,000.00
XS0331400027	57270	Oct 30, 2009	USD	50,000.00
XS0327940929	57332	Oct 30, 2009	AUD	70,000.00
XS0325841368	57333	Oct 30, 2009	USD	70,000.00
XS0339560376	57718	Oct 30, 2009	HKD	500,000.00
XS0326866307	57719	Oct 30, 2009	HKD	500,000.00
XS0339560376	57721	Oct 30, 2009	HKD	200,000.00
XS0369799845	57722	Oct 30, 2009	USD	65,000.00
XS0332153807	57751	Oct 30, 2009	HKD	800,000.00
XS0326608436	57752	Oct 30, 2009	USD	80,000.00
XS0336645089	57753	Oct 30, 2009	USD	60,000.00
XS0337553688	57754	Oct 30, 2009	USD	30,000.00
XS0341160082	57755	Oct 30, 2009	USD	30,000.00
XS0349512250	60811	Nov 02, 2009	HKD	600,000.00
XS0331769454	60815	Nov 02, 2009	USD	210,000.00
XS0334922860	60836	Nov 02, 2009	HKD	250,000.00
XS0348407411	60837	Nov 02, 2009	HKD	700,000.00
XS0336927909	60842	Nov 02, 2009	USD	150,000.00
XS0331769454	60846	Nov 02, 2009	USD	150,000.00
XS0334922860	60852	Nov 02, 2009	HKD	890,000.00
XS0335743398	60853	Nov 02, 2009	HKD	1,000,000.00
XS0349511872	60854	Nov 02, 2009	USD	500,000.00
XS0326866307	60857	Nov 02, 2009	HKD	600,000.00
XS0335743398	60914	Nov 02, 2009	HKD	250,000.00
XS0332153807	60915	Nov 02, 2009	HKD	600,000.00
XS0329337348	60916	Nov 02, 2009	HKD	1,200,000.00
XS0326866307	60917	Nov 02, 2009	HKD	650,000.00
XS0326608352	60918	Nov 02, 2009	HKD	890,000.00
XS0325786084	60919	Nov 02, 2009	HKD	1,080,000.00
XS0326026498	60920	Nov 02, 2009	HKD	1,500,000.00
XS0349511872	60921	Nov 02, 2009	USD	130,000.00
XS0339560293	60922	Nov 02, 2009	USD	310,000.00
XS0337553688	60923	Nov 02, 2009	USD	60,000.00
XS0336927909	60924	Nov 02, 2009	USD	70,000.00
XS0336645089	60925	Nov 02, 2009	USD	210,000.00
XS0336250229	60928	Nov 02, 2009	USD	190,000.00
XS0331769538	60929	Nov 02, 2009	USD	50,000.00
XS0331769454	60930	Nov 02, 2009	USD	80,000.00
XS0331400027	60931	Nov 02, 2009	USD	50,000.00
XS0326608279	60932	Nov 02, 2009	USD	150,000.00
XS0325841368	60933	Nov 02, 2009	USD	250,000.00
XS0326865671	61149	Nov 02, 2009	USD	320,000.00
XS0328586515	61150	Nov 02, 2009	USD	300,000.00
XS0326866307	61312	Nov 02, 2009	HKD	500,000.00
XS0326865671	61319	Nov 02, 2009	USD	130,000.00
XS0331400027	62934	Nov 02, 2009	USD	70,000.00
XS0326026498	62935	Nov 02, 2009	HKD	400,000.00

XS0331769538	62936	Nov 02, 2009	USD	40,000.00
XS0336645089	62937	Nov 02, 2009	USD	190,000.00
XS0326866307	64670	Nov 04, 2009	HKD	600,000.00
XS0331400530	64857	Nov 05, 2009	USD	130,000.00
XS0326026498	67148	Oct 26, 2010	HKD	1,000,000.00

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM  
LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

1. For value received, the adequacy and sufficiency of which are hereby acknowledged, **THE ROYAL BANK OF SCOTLAND PLC** ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to **DEUTSCHE BANK AG, LONDON BRANCH** (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable percentage specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers listed on Schedule 1 filed by or on behalf of Seller (a copy of which is attached at Schedule 2 hereto) (the "Proofs of Claim" and each a "Proof of Claim") as is specified in Schedule 1 hereto (the "Purchased Portion") against Lehman Brothers Holdings, Inc., as guarantor of the Purchased Security (as defined below) and debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"), (b) all rights and benefits of Seller relating to the Purchased Portion, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Portion or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Portion, whether under a plan or reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Portion, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Portion, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any prior seller acquired the rights underlying or constituting a part of the Purchased Portion, but only to the extent related to the Purchased Portion, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "Transferred Claims"), and (d) the security or securities (any such security, a "Purchased Security") relating to the Purchased Portion and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller to or in connection with the Transferred Claim, the Purchased Securities or the Proceedings.

2. Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relates to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by Seller or against Seller; or, alternatively, Seller has been duly authorized to sell, transfer and assign the Transferred Claims by the owner of Transferred Claims who has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by such owner or against such owner; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer; (e) the Proofs of Claim includes the Purchased Portion specified in Schedule 1 attached hereto; (f) Seller has not engaged in, and, to the best of its knowledge, none of Seller's predecessors-in-interest (if any) in the past have engaged in, any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) a true and correct schedule of the 10<sup>th</sup>, 11<sup>th</sup> and 12<sup>th</sup> distributions paid to Seller by Lehman Brothers Holdings, Inc., appears attached hereto as Schedule 2; (h) to the best of its knowledge and based on its understanding of the Proceedings, the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; and (i) a true and correct schedule of the 7<sup>th</sup>, 8<sup>th</sup>, 9<sup>th</sup>, and 10<sup>th</sup> distributions paid to Seller by Lehman Brothers Treasury Co. B.V. or its authorized agent(s), with respect to the securities relating to the Transferred Claims, appears attached hereto as Schedule 3.

3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by

Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.

5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller in respect of the Transferred Claims to Purchaser. Seller has transferred, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement and Evidence of Transfer supplements and does not supersede any confirmation, any other automatically generated documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security.

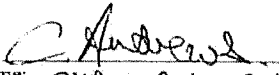
6. Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.

7. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the jurisdiction of the courts located in the County of New York in the State of New York. Each party hereto consents to service of process by certified mail at its address listed on the signature page below, unless otherwise required by the international treaties governing the service of process to the parties.



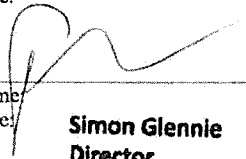
IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed  
this 16 day of JUNE 2017.

THE ROYAL BANK OF SCOTLAND PLC

By:   
Name: CHRIS ANDREWS  
Title: AUTHORISED SIGNATORY

DEUTSCHE BANK AG, LONDON BRANCH

By:   
Name: Alex Darbyshire  
Title: Vice President

By:   
Name: Simon Glennie  
Title: Director

Winchester House  
1, Great Winchester Street  
London EC2N 2DB  
ENGLAND  
Attn: Michael Sutton

Schedule I

Transferred Claims

Purchased Portion

100% of the claims that are referenced in the Proofs of Claim (as highlighted in the copy of the Proofs of Claim attached at Schedule 2) and relating to the Purchased Securities described in the attached spreadsheet (plus all interest, costs and fees relating to this claim).

*See attached spreadsheet, which describes:*

- Description of Security Issued by Lehman Brothers Treasury BV
- ISIN / CUSIP
- Blocking Number
- Issuer
- Guarantor
- LBHI Claim Number
- Principal / Notional Amount
- Allowed Amount
- Maturity
- 10<sup>th</sup>, 11<sup>th</sup> and 12<sup>th</sup> Distributions made by LBHI

Description of Security	ISIN/CUSIP	Blocking Number	Issuer	Guarantor	LBHI Claim Number	Principal/Notional Amount	Allowed Amount	Maturity	LBHI 12th Distribution	LBHI 11th Distribution	LBHI 10th Distribution
LB 2Y USD Range Accrual Note \$877 23NOV09	XS0131504745	CA38048	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	40647	USD 130,000,000	USD 59,113.51	23NOV09	USD 506.22	USD 664.66	USD 378.06
LB 2Y USD Range Accrual Note \$797 27OCT09	XS0126088379	CA31792	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	41347	USD 140,000,000	USD 65,429.99	27OCT09	USD 560.32	USD 735.68	USD 418.45
LB 2Y USD Range Accrual Note \$798 27OCT09	XS0126088379	CA31399	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	42084	USD 420,000,000	USD 217,124.04	27OCT09	USD 1,859.38	USD 2,441.32	USD 1,388.61
LB 1Y USD Daily Callable Fixed Coupon Note \$981 05MAR09	XS0140511872	CA31687	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	42161	USD 400,000,000	USD 233,452.91	05MAR09	USD 1,999.21	USD 2,624.92	USD 1,493.04
LB 2Y USD Range Accrual Note \$899 23NOV09	XS0131769538	CA38226	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	43056	USD 250,000,000	USD 144,065.52	23NOV09	USD 1,233.73	USD 1,619.86	USD 921.37
LB 2Y AUD Range Accrual Note \$830 05NOV09	XS0127940929	CA31912	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	43164	AUD 300,000,000	USD 33,633.11	05NOV09	USD 476.24	USD 625.29	USD 355.66
LB 2Y HKD Range Accrual Note \$936 14DEC09	XS0131022860	CA31759	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	43869	USD 90,000,000	USD 42,062.14	14DEC09	USD 288.02	USD 378.16	USD 215.10
LB 1Y HKD Daily Callable Fixed Coupon Note \$974 02MAR09	XS0134840741	CA38409	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44051	USD 90,000,000	USD 67,018.87	02MAR09	USD 360.20	USD 472.94	USD 269.60
LB 2Y USD Range Accrual Note \$904 18JAN10	XS0139560293	CA47030	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44052	USD 900,000,000	USD 57,280.12	18JAN10	USD 573.92	USD 753.55	USD 428.61
LB 2Y HKD Range Accrual Note \$936 14DEC09	XS0131022860	CA46770	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44075	USD 130,000,000	USD 57,280.12	18JAN10	USD 490.82	USD 644.05	USD 366.33
LB 2Y USD Range Accrual Note \$790 31DEC09	XS0131769538	CA46049	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44190	USD 100,000,000	USD 6,726.62	31DEC09	USD 57.60	USD 75.63	USD 43.02
LB 2Y USD Range Accrual Note \$891 23NOV09	XS0131400530	CA46560	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44192	USD 100,000,000	USD 46,976.61	23NOV09	USD 402.29	USD 528.20	USD 300.43
LB 2Y AUD Range Accrual Note \$899 23NOV09	XS0131400527	CA46574	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44193	USD 20,000,000	USD 10,246.39	23NOV09	USD 87.74	USD 115.20	USD 65.53
LB 2Y USD Range Accrual Note \$930 05NOV09	XS0131769538	CA46751	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44194	USD 20,000,000	USD 11,289.50	05NOV09	USD 96.68	USD 126.93	USD 72.20
LB 2Y USD Range Accrual Note \$904 18JAN10	XS0137940929	CA38008	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44195	USD 40,000,000	USD 23,040.48	23NOV09	USD 197.39	USD 259.17	USD 147.41
LB 2Y HKD Range Accrual Note \$905 29DEC09	XS0131400527	CA38334	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44291	AUD 150,000,000	USD 64,167.44	05NOV09	USD 549.51	USD 721.49	USD 410.38
LB 2Y USD Range Accrual Note \$919 17DEC09	XS0131400530	CA38423	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44292	USD 170,000,000	USD 74,984.77	17DEC09	USD 681.46	USD 842.22	USD 479.05
LB 2Y USD Range Accrual Note \$890 23NOV09	XS0131400527	CA35020	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44293	USD 370,000,000	USD 21,068.52	23NOV09	USD 180.42	USD 236.89	USD 134.74
LB 2Y HKD Range Accrual Note \$926 25JAN10	XS0131400527	CA35112	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44297	USD 200,000,000	USD 116,385.48	25JAN10	USD 996.68	USD 1,308.62	USD 744.34
LB 2Y USD Range Accrual Note \$919 17DEC09	XS0131400530	CA35345	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44330	USD 50,000,000	USD 21,818.93	17DEC09	USD 186.85	USD 245.33	USD 139.54
LB 2Y USD Range Accrual Note \$890 23NOV09	XS0131400527	CA35020	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44332	USD 1,000,000,000	USD 79,343.80	23OCT09	USD 679.47	USD 892.13	USD 507.44
LB 2Y USD Range Accrual Note \$797 27OCT09	XS0131400527	CA35112	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44993	USD 300,000,000	USD 76,237.94	27OCT09	USD 1,316.20	USD 1,728.14	USD 982.96
LB 1Y USD Daily Callable Fixed Coupon Note \$981 05MAR09	XS0140511872	CA35189	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44995	USD 300,000,000	USD 169,343.43	05MAR09	USD 1,450.20	USD 1,904.08	USD 1,083.03
LB 2Y USD Range Accrual Note \$898 23NOV09	XS0131400530	CA31922	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	44997	USD 250,000,000	USD 153,227.84	23NOV09	USD 1,320.75	USD 1,734.12	USD 986.36
LB 2Y USD Range Accrual Note \$891 23NOV09	XS0131769538	CA31636	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45130	USD 100,000,000	USD 51,231.95	23NOV09	USD 1,249.51	USD 1,640.57	USD 933.15
LB 2Y USD Range Accrual Note \$891 23NOV09	XS0131769538	CA46796	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45132	USD 300,000,000	USD 164,319.53	23NOV09	USD 438.73	USD 576.04	USD 327.65
LB 2Y USD Range Accrual Note \$926 25JAN10	XS0131400527	CA46948	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45133	USD 180,000,000	USD 84,288.48	25JAN10	USD 1,407.18	USD 1,847.59	USD 1,056.90
LB 2Y HKD Range Accrual Note \$926 25JAN10	XS0131400527	CA47054	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45134	USD 380,000,000	USD 178,511.12	25JAN10	USD 1,528.71	USD 2,007.16	USD 1,141.66
LB 2Y AUD Range Accrual Note \$830 05NOV09	XS0127940929	CA42964	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45135	USD 380,000,000	USD 163,823.42	05NOV09	USD 1,420.06	USD 1,864.51	USD 1,060.52
LB 2Y USD Range Accrual Note \$919 17DEC09	XS0131400530	CA42827	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45136	USD 2,000,000,000	USD 230,097.03	17DEC09	USD 1,970.47	USD 2,587.19	USD 1,471.58
LB 2Y USD Range Accrual Note \$919 29DEC09	XS0131400530	CA41636	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45246	AUD 200,000,000	USD 85,556.59	29DEC09	USD 732.68	USD 961.99	USD 547.17
LB 2Y USD Range Accrual Note \$797 27OCT09	XS0131769538	CA46413	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45271	USD 110,000,000	USD 205,235.99	27OCT09	USD 1,757.74	USD 2,307.88	USD 1,312.71
LB 2Y HKD Range Accrual Note \$926 25JAN10	XS0131400527	CA46082	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45273	USD 130,000,000	USD 60,796.42	25JAN10	USD 548.17	USD 719.74	USD 409.38
LB 2Y USD Range Accrual Note \$898 23NOV09	XS0131769538	CA31636	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45275	USD 130,000,000	USD 74,914.07	23NOV09	USD 529.29	USD 683.14	USD 388.56
LB 2Y USD Range Accrual Note \$797 27OCT09	XS0131769538	CA31636	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45276	USD 3,000,000,000	USD 207,656.27	27OCT09	USD 641.54	USD 842.32	USD 479.11
LB 2Y USD Range Accrual Note \$798 27OCT09	XS0126088379	CA46100	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45276	USD 160,000,000	USD 87,637.08	27OCT09	USD 1,278.30	USD 1,640.57	USD 933.15
LB 2Y USD Range Accrual Note \$899 23NOV09	XS0131769538	CA46746	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45296	USD 70,000,000	USD 50,670.97	23OCT09	USD 433.93	USD 569.74	USD 324.06
LB 2Y USD Range Accrual Note \$891 23NOV09	XS0131769538	CA46746	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45401	USD 100,000,000	USD 77,544.30	23OCT09	USD 664.06	USD 871.90	USD 495.93
LB 1Y HKD Daily Callable Fixed Coupon Note \$974 02MAR09	XS0140511872	CA47928	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45405	USD 430,000,000	USD 247,792.70	02MAR09	USD 2,122.01	USD 2,816.16	USD 1,584.75
LB 2Y USD Range Accrual Note \$934 14DEC09	XS0131769538	CA37930	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45443	USD 500,000,000	USD 37,232.71	14DEC09	USD 318.84	USD 418.64	USD 238.12
LB 2Y USD Range Accrual Note \$898 23NOV09	XS0131769538	CA37915	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45444	USD 300,000,000	USD 22,482.84	23NOV09	USD 192.53	USD 252.79	USD 143.78
LB 2Y USD Range Accrual Note \$891 23NOV09	XS0131769538	CA37912	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45445	USD 200,000,000	USD 11,451.24	23NOV09	USD 117.20	USD 151.26	USD 86.64
LB 1Y HKD Daily Callable Fixed Coupon Note \$974 02MAR09	XS0140511872	CA46181	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45457	USD 40,000,000	USD 21,986.27	02MAR09	USD 187.62	USD 246.34	USD 140.12
LB 2Y USD Range Accrual Note \$934 14DEC09	XS0131769538	CA38405	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45751	USD 100,000,000	USD 58,193.74	14DEC09	USD 498.34	USD 654.31	USD 372.17
LB 2Y USD Range Accrual Note \$899 23NOV09	XS0131769538	CA38405	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45751	USD 3,000,000,000	USD 223,396.24	23NOV09	USD 1,913.09	USD 2,511.84	USD 1,428.73
LB 2Y USD Range Accrual Note \$891 23NOV09	XS0131769538	CA38405	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45752	USD 1,000,000,000	USD 74,465.41	23NOV09	USD 637.69	USD 837.28	USD 476.24
LB 1Y HKD Daily Callable Fixed Coupon Note \$974 02MAR09	XS0140511872	CA37902	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45760	USD 100,000,000	USD 58,192.74	02MAR09	USD 498.34	USD 654.31	USD 372.17
LB 2Y USD Range Accrual Note \$934 14DEC09	XS0131769538	CA31926	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45766	USD 1,000,000,000	USD 74,465.41	14DEC09	USD 637.69	USD 837.28	USD 476.24
LB 2Y USD Range Accrual Note \$899 23NOV09	XS0131769538	CA32063	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45767	USD 500,000,000	USD 27,551.65	23NOV09	USD 235.94	USD 309.78	USD 176.20
LB 1Y HKD Daily Callable Fixed Coupon Note \$974 02MAR09	XS0140511872	CA31926	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45767	USD 160,000,000	USD 72,967.72	02MAR09	USD 624.87	USD 820.44	USD 466.60
LB 2Y USD Range Accrual Note \$898 23NOV09	XS0131769538	CA31926	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45771	USD 3,000,000,000	USD 223,396.24	23NOV09	USD 1,913.09	USD 2,511.84	USD 1,428.73
LB 2Y USD Range Accrual Note \$898 23NOV09	XS0131769538	CA31926	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45771	USD 900,000,000	USD 67,448.53	23NOV09	USD 577.60	USD 758.38	USD 431.36
LB 2Y USD Range Accrual Note \$797 27OCT09	XS0126088379	CA31836	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	45799	USD 30,000,000	USD 21,716.13	27OCT09	USD 185.97	USD 244.17	USD 138.85

LB 2Y HKD Range Accrual Note \$947 21DEC09	CX40774	Lehman Brothers Treasury Co BV	45809	HKD	520,000 USD	USD	28,653.72	21DEC09	USD	245.38	USD	322.18	USD	183.25
LB 2Y USD Range Accrual Note \$948 21DEC09	CX40787	Lehman Brothers Treasury Co BV	45801	USD	500,000 USD	USD	88,704.87	21DEC09	USD	760.41	USD	909.48	USD	567.88
LB 2Y HKD Range Accrual Note \$949 21DEC09	CX41939	Lehman Brothers Holdings Inc	45802	HKD	510,000 USD	USD	54,214.54	21DEC09	USD	464.27	USD	609.58	USD	346.72
LB 2Y USD Range Accrual Note \$836 06NOV09	CX73904	Lehman Brothers Treasury Co BV	45803	USD	20,000 USD	USD	8,181.68	06NOV09	USD	70.06	USD	91.99	USD	52.32
LB 2Y USD Range Accrual Note \$978 27OCT09	CX73896	Lehman Brothers Treasury Co BV	45804	USD	20,000 USD	USD	10,339.24	27OCT09	USD	88.34	USD	116.25	USD	66.12
LB 2Y USD Airbag Range Accrual Note \$800 23NOV09	CX73909	Lehman Brothers Holdings Inc	45805	USD	20,000 USD	USD	11,289.56	23NOV09	USD	96.68	USD	126.93	USD	72.20
LB 2Y USD Airbag Range Accrual Note \$926 25JAN10	CX73921	Lehman Brothers Holdings Inc	45806	USD	20,000 USD	USD	8,327.57	25JAN10	USD	74.74	USD	98.13	USD	55.81
LB 2Y USD Range Accrual Note \$959 27DEC09	CX73923	Lehman Brothers Treasury Co BV	45807	USD	20,000 USD	USD	11,638.55	27DEC09	USD	99.66	USD	130.86	USD	74.43
LB 2Y HKD Range Accrual Note \$947 21DEC09	CX40772	Lehman Brothers Treasury Co BV	45814	HKD	2,000,000 USD	USD	110,306.61	21DEC09	USD	943.77	USD	1,239.15	USD	704.82
LB 1Y HKD Daily, Callable Fixed Coupon Note \$974 02MAR09	CX34407	Lehman Brothers Holdings Inc	45824	HKD	4,000,000 USD	USD	297,864.65	02MAR09	USD	2,550.79	USD	3,349.13	USD	1,904.97
LB 2Y USD Range Accrual Note \$949 17DEC09	CX40678	Lehman Brothers Holdings Inc	45836	USD	70,000 USD	USD	20,245.28	17DEC09	USD	175.77	USD	204.78	USD	131.27
LB 2Y USD Range Accrual Note \$970 27OCT09	CX40616	Lehman Brothers Holdings Inc	45837	USD	100,000 USD	USD	46,735.71	27OCT09	USD	409.23	USD	525.49	USD	298.80
LB 2Y HKD Range Accrual Note \$970 27OCT09	CX40618	Lehman Brothers Holdings Inc	45838	HKD	800,000 USD	USD	63,475.94	27OCT09	USD	543.58	USD	713.70	USD	405.95
LB 2Y HKD Range Accrual Note \$776 22OCT09	CX40693	Lehman Brothers Holdings Inc	45839	HKD	800,000 USD	USD	53,375.00	22OCT09	USD	474.21	USD	623.63	USD	354.15
LB 2Y USD Range Accrual Note \$948 21DEC09	CX40621	Lehman Brothers Holdings Inc	45843	USD	160,000 USD	USD	71,035.90	21DEC09	USD	608.32	USD	798.72	USD	454.31
LB 2Y USD Airbag Range Accrual Note \$948 21DEC09	CX40624	Lehman Brothers Holdings Inc	45854	HKD	2,000,000 USD	USD	120,237.32	27OCT09	USD	1,029.58	USD	1,351.82	USD	768.91
LB 2Y HKD Range Accrual Note \$947 21DEC09	CX40834	Lehman Brothers Holdings Inc	45866	HKD	1,220,000 USD	USD	67,226.03	21DEC09	USD	575.70	USD	755.88	USD	429.94
LB 2Y USD Airbag Range Accrual Note \$902 30NOV09	CX45033	Lehman Brothers Holdings Inc	45894	HKD	780,000 USD	USD	56,461.09	30NOV09	USD	483.51	USD	634.84	USD	361.49
LB 2Y USD Range Accrual Note \$804 29OCT09	CX40516	Lehman Brothers Holdings Inc	45896	USD	100,000 USD	USD	47,126.93	29OCT09	USD	403.60	USD	529.92	USD	301.41
LB 2Y USD Range Accrual Note \$949 17DEC09	CX73918	Lehman Brothers Holdings Inc	45918	USD	70,000 USD	USD	20,525.60	17DEC09	USD	175.77	USD	230.78	USD	131.27
LB 2Y HKD Range Accrual Note \$970 27OCT09	CX73894	Lehman Brothers Holdings Inc	45919	HKD	500,000 USD	USD	33,776.83	27OCT09	USD	251.39	USD	337.95	USD	192.22
LB 2Y HKD Airbag Range Accrual Note \$840 09NOV09	CX73908	Lehman Brothers Holdings Inc	45929	HKD	400,000 USD	USD	14,851.37	09NOV09	USD	127.18	USD	166.98	USD	94.98
LB 2Y USD Daily, Callable Fixed Coupon Note \$881 05MAR09	CX47258	Lehman Brothers Holdings Inc	46870	USD	100,000 USD	USD	38,363.23	05MAR09	USD	499.80	USD	656.23	USD	373.26
LB 2Y USD Airbag Range Accrual Note \$888 23NOV09	CX40434	Lehman Brothers Treasury Co BV	46892	USD	400,000 USD	USD	219,092.71	23NOV09	USD	1,876.24	USD	2,463.46	USD	1,401.20
LB 2Y HKD Range Accrual Note \$947 21DEC09	CX40832	Lehman Brothers Holdings Inc	46918	HKD	1,000,000 USD	USD	55,053.30	21DEC09	USD	471.88	USD	619.57	USD	35


LB 2Y USD Airbag Range Accrual Note S885 23NOV09	US\$0331769454	CA31655	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	49665	USD	150,000.00	USD	82,159.77	23NOV09	USD	703.59	USD	923.79	USD	525.45
LB 2Y USD Range Accrual Note S778 22OCT09	US\$0325841368	CA40691	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	49666	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S959 29DEC09	US\$0336927909	CA40691	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50340	USD	180,000.00	USD	104,746.93	29DEC09	USD	897.02	USD	1,177.76	USD	669.90
LB 2Y USD Airbag Range Accrual Note S889 23NOV09	US\$0331769538	CA35015	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50382	USD	350,000.00	USD	201,691.73	23NOV09	USD	1,727.22	USD	2,267.80	USD	1,289.91
LB 2Y HKD Range Accrual Note S936 14DEC09	US\$0334922860	CA40766	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50669	HKD	1,000,000.00	USD	67,266.22	14DEC09	USD	576.04	USD	756.33	USD	430.20
LB 2Y USD Range Accrual Note S891 23NOV09	US\$0331400530	CA31923	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50678	USD	140,000.00	USD	71,724.73	23NOV09	USD	614.22	USD	806.46	USD	458.71
LB 2Y USD Airbag Range Accrual Note S900 23NOV09	US\$0331400027	CA31925	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50684	USD	170,000.00	USD	95,961.28	23NOV09	USD	821.78	USD	1,078.98	USD	613.72
LB 2Y USD Range Accrual Note S948 21DEC09	US\$0335250229	CA40370	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50703	USD	200,000.00	USD	88,794.87	21DEC09	USD	760.41	USD	998.40	USD	567.88
LB 2Y USD Airbag Range Accrual Note S901 23NOV09	US\$0331400530	CA40656	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50703	USD	200,000.00	USD	88,794.87	21DEC09	USD	760.41	USD	998.40	USD	567.88
LB 2Y HKD Airbag Range Accrual Note S979 23OCT09	US\$0326026498	CA40656	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50835	USD	50,000.00	USD	25,615.97	23OCT09	USD	219.36	USD	288.02	USD	163.82
LB 2Y HKD Range Accrual Note S947 21DEC09	US\$0335743398	CA40656	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50836	HKD	400,000.00	USD	39,671.90	23OCT09	USD	339.73	USD	446.06	USD	253.72
LB 2Y USD Airbag Range Accrual Note S889 23NOV09	US\$0331769538	CA35070	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50873	HKD	1,000,000.00	USD	55,103.30	21DEC09	USD	471.88	USD	619.57	USD	352.41
LB 2Y HKD Airbag Range Accrual Note S779 23OCT09	US\$0326026498	CA35070	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50873	HKD	1,000,000.00	USD	55,103.30	21DEC09	USD	471.88	USD	619.57	USD	352.41
LB 2Y USD Range Accrual Note S891 23NOV09	US\$0331400530	CA35059	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50873	USD	120,000.00	USD	69,151.45	23NOV09	USD	592.19	USD	777.53	USD	442.25
LB 2Y USD Airbag Range Accrual Note S888 23NOV09	US\$0331769454	CA35348	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50885	HKD	1,500,000.00	USD	119,015.70	23OCT09	USD	1,019.21	USD	1,336.20	USD	761.16
LB 2Y HKD Airbag Range Accrual Note S840 09NOV09	US\$0329337348	CA55040	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50889	USD	110,000.00	USD	56,355.14	23NOV09	USD	482.60	USD	633.65	USD	360.41
LB 2Y USD Airbag Range Accrual Note S840 09NOV09	US\$0329337348	CA55312	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50898	USD	130,000.00	USD	71,205.13	23NOV09	USD	609.77	USD	800.62	USD	485.39
LB 2Y HKD Airbag Range Accrual Note S779 23OCT09	US\$0326026498	CA46749	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50899	HKD	1,000,000.00	USD	57,128.44	09NOV09	USD	371.95	USD	417.46	USD	237.45
LB 2Y USD Range Accrual Note S891 23NOV09	US\$0331400530	CA46749	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	50904	USD	100,000.00	USD	57,626.21	23NOV09	USD	493.49	USD	647.94	USD	368.54
LB 2Y HKD Airbag Range Accrual Note S948 21DEC09	US\$0335743398	CA46842	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51250	USD	75,000.00	USD	75,000.00	22MAR11	USD	642.27	USD	843.29	USD	479.66
LB 2Y USD Airbag Range Accrual Note S840 09NOV09	US\$0329337348	CA46842	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51251	USD	80,000.00	USD	80,000.00	22MAR11	USD	685.09	USD	899.51	USD	511.64
LB 2Y HKD Airbag Range Accrual Note S779 23OCT09	US\$0326026498	CA46914	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51601	HKD	500,000.00	USD	37,232.71	02MAR09	USD	318.84	USD	418.64	USD	238.12
LB 2Y USD Range Accrual Note S891 23NOV09	US\$0331400530	CA48232	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51602	HKD	1,000,000.00	USD	67,266.22	14DEC09	USD	576.04	USD	756.33	USD	430.20
LB 2Y HKD Airbag Range Accrual Note S840 09NOV09	US\$0329337348	CA48334	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51628	HKD	1,800,000.00	USD	134,897.06	05MAR09	USD	1,155.21	USD	1,516.77	USD	862.73
LB 2Y USD Airbag Range Accrual Note S877 23NOV09	US\$0331504745	CA22727	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51772	AUD	200,000.00	USD	85,556.59	05NOV09	USD	732.68	USD	961.99	USD	547.17
LB 2Y HKD Airbag Range Accrual Note S936 14DEC09	US\$0334922860	CA22727	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51773	USD	140,000.00	USD	59,113.51	23NOV09	USD	506.22	USD	664.66	USD	378.96
LB 2Y USD Range Accrual Note S877 23NOV09	US\$0331504745	CA22727	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	51773	USD	140,000.00	USD	59,113.51	23NOV09	USD	506.22	USD	664.66	USD	378.96
LB 2Y HKD Airbag Range Accrual Note S880 23NOV09	US\$0331769538	CA50306	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55132	USD	220,000.00	USD	126,777.66	23NOV09	USD	1,085.68	USD	1,425.47	USD	810.80
LB 2Y USD Range Accrual Note S880 23NOV09	US\$0331769538	CA50306	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55137	USD	65,000.00	USD	65,000.00	22MAR11	USD	556.63	USD	730.85	USD	415.70
LB 2Y HKD Airbag Range Accrual Note S891 23NOV09	US\$0331400530	CA50341	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55154	USD	540,000.00	USD	276,622.53	23NOV09	USD	2,369.16	USD	3,110.65	USD	1,769.33
LB 2Y USD Airbag Range Accrual Note S891 23NOV09	US\$0331400530	CA50341	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55154	USD	540,000.00	USD	276,622.53	23NOV09	USD	2,369.16	USD	3,110.65	USD	1,769.33
LB 2Y HKD Airbag Range Accrual Note S881 05MAR09	US\$0331400027	CA38291	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55213	USD	500,000.00	USD	291,816.13	05MAR09	USD	2,409.02	USD	3,281.15	USD	1,866.31
LB 2Y USD Range Accrual Note S881 05MAR09	US\$0331400027	CA38291	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55213	USD	500,000.00	USD	291,816.13	05MAR09	USD	2,409.02	USD	3,281.15	USD	1,866.31
LB 2Y HKD Airbag Range Accrual Note S954 29DEC09	US\$0325841368	CA40893	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55222	USD	150,000.00	USD	87,544.84	05MAR09	USD	749.70	USD	984.34	USD	559.89
LB 2Y USD Range Accrual Note S776 22OCT09	US\$0336250229	CA38243	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55232	USD	60,000.00	USD	26,638.46	21DEC09	USD	228.12	USD	299.52	USD	170.36
LB 2Y HKD Airbag Range Accrual Note S797 22OCT09	US\$0326026498	CA37766	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	55283	HKD	500,000.00	USD	34,609.38	23OCT09	USD	296.38	USD	389.14	USD	221.34
LB 2Y USD Range Accrual Note S954 29DEC09	US\$0325841368	CA37766	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	56038	USD	200,000.00	USD	93,471.42	23OCT09	USD	809.46	USD	1,050.98	USD	597.70
LB 2Y USD Airbag Range Accrual Note S891 23NOV09	US\$0331400530	CA53346	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	56521	USD	150,000.00	USD	68,407.24	29DEC09	USD	585.81	USD	769.16	USD	437.49
LB 2Y USD Range Accrual Note S877 23NOV09	US\$0331504745	CA38047	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	56522	USD	140,000.00	USD	71,724.73	23NOV09	USD	614.22	USD	806.46	USD	458.71
LB 2Y HKD Airbag Range Accrual Note S936 14DEC09	US\$0334922860	CA38711	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	56727	USD	80,000.00	USD	36,377.55	23NOV09	USD	311.52	USD	409.02	USD	232.65
LB 2Y USD Range Accrual Note S880 23NOV09	US\$0331769538	CA38711	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	56728	USD	70,000.00	USD	40,734.92	29DEC09	USD	348.84	USD	458.02	USD	260.52
LB 2Y HKD Airbag Range Accrual Note S888 23NOV09	US\$0325841368	CA38782	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	56967	AUD	280,000.00	USD	119,770.28	05NOV09	USD	1,025.75	USD	1,346.78	USD	766.04
LB 2Y USD Range Accrual Note S797 22OCT09	US\$0325841368	CA44498	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	56968	USD	20,000.00	USD	10,954.64	23NOV09	USD	93.81	USD	123.17	USD	70.06
LB 2Y HKD Airbag Range Accrual Note S802 30NOV09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S830 05NOV09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y USD Range Accrual Note S806 27OCT09	US\$0325841368	CA46443	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	57076	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.70	USD	353.05
LB 2Y HKD Airbag Range Accrual Note S8																

LB 1Y USD Daily, Callable Fixed Coupon Note \$903 11MAR09	X903150571377	CA31854	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	130,000.00	USD	74,580.00	11MAR09	USD	638.68	USD	838.57	USD	476.98
LB 2Y USD Range Accrual Note \$907 27OCT09	X90326008279	CA46414	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	130,000.00	USD	60,756.42	27OCT09	USD	520.29	USD	683.14	USD	388.56
LB 2Y USD Range Accrual Note \$949 17DEC09	X90336415913	CA46879	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	130,000.00	USD	14,661.41	17DEC09	USD	125.55	USD	164.84	USD	93.76
LB 2Y USD Range Accrual Note \$901 23NOV09	X90331400530	CA64544	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	70,000.00	USD	35,962.36	23NOV09	USD	307.11	USD	403.23	USD	229.35
LB 2Y USD Range Accrual Note \$977 23NOV09	X90331504745	CA64546	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	70,000.00	USD	13,641.58	23NOV09	USD	116.82	USD	153.38	USD	87.24
LB 2Y USD Range Accrual Note \$954 29DEC09	X90336645089	CA64582	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	150,000.00	USD	68,407.24	29DEC09	USD	585.81	USD	769.16	USD	437.49
LB 2Y USD Range Accrual Note \$960 27OCT09	X90326008436	CA64512	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	100,000.00	USD	46,728.13	27OCT09	USD	400.16	USD	525.40	USD	298.84
LB 2Y USD Range Accrual Note \$959 29DEC09	X90336027909	CA64817	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	210,000.00	USD	122,204.75	29DEC09	USD	1,046.52	USD	1,374.06	USD	781.56
LB 2Y HKD Range Accrual Note \$776 22OCT09	X90325786084	CA64488	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,000,000.00	USD	69,218.76	22OCT09	USD	592.76	USD	778.29	USD	442.68
LB 2Y USD Range Accrual Note \$800 23NOV09	X90331400027	CA64539	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	50,000.00	USD	28,223.90	23NOV09	USD	241.70	USD	317.34	USD	180.50
LB 2Y AUD Range Accrual Note \$830 08NOV09	X90327940929	CA73901	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	AUD	70,000.00	USD	29,944.81	08NOV09	USD	256.43	USD	336.69	USD	191.51
LB 2Y USD Range Accrual Note \$778 22OCT09	X90325841368	CA73890	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	70,000.00	USD	38,642.54	22OCT09	USD	339.92	USD	434.49	USD	247.13
LB 2Y HKD Range Accrual Note \$905 18JAN10	X90339560376	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	500,000.00	USD	58,470.98	18JAN10	USD	243.81	USD	320.12	USD	182.08
LB 2Y HKD Range Accrual Note \$905 18JAN10	X90326860307	CA73941	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	500,000.00	USD	25,317.51	18JAN10	USD	455.17	USD	597.63	USD	339.93
LB 2Y HKD Range Accrual Note \$905 18JAN10	X90336979945	CA73882	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	200,000.00	USD	11,388.39	18JAN10	USD	97.52	USD	128.05	USD	72.83
LB 2Y HKD Range Accrual Note \$902 30NOV09	X90332153807	CA73750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	65,000.00	USD	65,000.00	30NOV09	USD	556.63	USD	730.85	USD	415.70
LB 2Y USD Range Accrual Note \$806 27OCT09	X90326008436	CA73946	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	80,000.00	USD	57,908.81	27OCT09	USD	495.91	USD	651.12	USD	370.35
LB 2Y USD Range Accrual Note \$954 29DEC09	X90336645089	CA73895	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	60,000.00	USD	37,362.50	29DEC09	USD	320.13	USD	420.32	USD	239.07
LB 2Y USD Range Accrual Note \$970 31DEC09	X90337555688	CA73817	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	30,000.00	USD	27,362.90	31DEC09	USD	244.32	USD	307.66	USD	174.99
LB 2Y USD Range Accrual Note \$926 25JAN10	X90341160082	CA73738	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	30,000.00	USD	14,692.98	25JAN10	USD	129.68	USD	138.46	USD	90.13
LB 1Y HKD Daily, Callable Fixed Coupon Note \$978 05MAR09	X90349312250	CA73750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	30,000.00	USD	13,991.36	05MAR09	USD	112.11	USD	147.19	USD	83.72
LB 2Y USD Range Accrual Note \$888 23NOV09	X90331769454	CA73648	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	210,000.00	USD	115,023.67	23NOV09	USD	985.02	USD	1,293.31	USD	735.63
LB 2Y HKD Range Accrual Note \$936 14DEC09	X9034922860	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	250,000.00	USD	16,816.55	14DEC09	USD	144.01	USD	189.08	USD	107.55
LB 1Y HKD Daily, Callable Fixed Coupon Note \$974 02MAR09	X90348407411	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	250,000.00	USD	52,125.79	02MAR09	USD	446.38	USD	586.09	USD	333.37
LB 2Y USD Range Accrual Note \$959 29DEC09	X90336979945	CA73895	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	150,000.00	USD	82,159.77	29DEC09	USD	747.51	USD	981.47	USD	558.25
LB 2Y USD Range Accrual Note \$954 29DEC09	X90336645089	CA73895	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	150,000.00	USD	82,159.77	29DEC09	USD	703.59	USD	923.79	USD	525.45
LB 2Y HKD Range Accrual Note \$970 31DEC09	X90337555688	CA73817	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	890,000.00	USD	59,866.94	31DEC09	USD	512.68	USD	673.13	USD	382.87
LB 1Y USD Daily, Callable Fixed Coupon Note \$981 05MAR09	X90349312250	CA73750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,000,000.00	USD	55,193.30	05MAR09	USD	471.88	USD	619.57	USD	352.41
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90336860307	CA73648	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	500,000.00	USD	29,816.13	27OCT09	USD	2,499.02	USD	3,281.15	USD	1,866.31
LB 2Y HKD Range Accrual Note \$947 21DEC09	X90335741398	CA73831	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	600,000.00	USD	63,781.81	21DEC09	USD	546.30	USD	717.15	USD	407.91
LB 2Y HKD Range Accrual Note \$902 30NOV09	X90332153807	CA73750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	250,000.00	USD	13,775.83	30NOV09	USD	117.97	USD	154.89	USD	83.10
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90329337348	CA73648	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,500,000.00	USD	43,431.61	27OCT09	USD	371.93	USD	488.34	USD	277.76
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326860307	CA73648	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,500,000.00	USD	44,554.12	27OCT09	USD	381.54	USD	500.96	USD	284.94
LB 2Y HKD Range Accrual Note \$799 27OCT09	X90326860307	CA73648	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,500,000.00	USD	69,096.96	27OCT09	USD	591.72	USD	776.92	USD	441.90
LB 2Y HKD Range Accrual Note \$776 22OCT09	X90325786084	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	890,000.00	USD	53,501.16	22OCT09	USD	458.16	USD	601.36	USD	342.16
LB 2Y HKD Range Accrual Note \$770 23OCT09	X90326026498	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,000,000.00	USD	74,736.26	23OCT09	USD	640.18	USD	840.55	USD	478.10
LB 1Y USD Daily, Callable Fixed Coupon Note \$981 05MAR09	X90349312250	CA73750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,500,000.00	USD	119,015.70	05MAR09	USD	1,019.21	USD	1,338.20	USD	761.16
LB 2Y USD Range Accrual Note \$904 18JAN10	X90339560376	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	130,000.00	USD	75,872.19	18JAN10	USD	646.72	USD	853.10	USD	485.24
LB 2Y USD Range Accrual Note \$970 31DEC09	X90337555688	CA73817	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	310,000.00	USD	136,591.05	31DEC09	USD	1,169.72	USD	1,555.81	USD	873.56
LB 2Y USD Range Accrual Note \$959 29DEC09	X90336979945	CA73895	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	60,000.00	USD	28,185.97	29DEC09	USD	241.37	USD	316.92	USD	180.26
LB 2Y USD Range Accrual Note \$836 06NOV09	X90336645089	CA73901	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	70,000.00	USD	48,734.92	06NOV09	USD	348.84	USD	458.02	USD	260.52
LB 2Y USD Range Accrual Note \$948 21DEC09	X90336250229	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	210,000.00	USD	95,270.14	21DEC09	USD	820.14	USD	1,076.83	USD	612.49
LB 2Y USD Range Accrual Note \$889 23NOV09	X90331769454	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	190,000.00	USD	84,355.13	23NOV09	USD	722.39	USD	948.48	USD	539.49
LB 2Y USD Range Accrual Note \$888 23NOV09	X90331769454	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	50,000.00	USD	28,813.10	23NOV09	USD	246.74	USD	323.97	USD	184.27
LB 2Y USD Range Accrual Note \$890 23NOV09	X90331400027	CA73830	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	80,000.00	USD	43,818.54	23NOV09	USD	375.24	USD	492.69	USD	280.24
LB 2Y USD Range Accrual Note \$797 27OCT09	X90326860307	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	50,000.00	USD	28,223.90	27OCT09	USD	241.70	USD	317.34	USD	180.50
LB 2Y USD Range Accrual Note \$778 22OCT09	X90325841368	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	150,000.00	USD	70,103.56	22OCT09	USD	600.34	USD	788.23	USD	448.34
LB 2Y USD Range Accrual Note \$804 25OCT09	X90326860307	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	250,000.00	USD	138,009.06	25OCT09	USD	1,181.86	USD	1,551.76	USD	882.63
LB 2Y USD Range Accrual Note \$836 06NOV09	X90326860307	CA73901	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	320,000.00	USD	150,815.76	06NOV09	USD	1,291.53	USD	1,695.76	USD	964.54
LB 2Y HKD Range Accrual Note \$948 21DEC09	X90326860307	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	300,000.00	USD	122,725.17	21DEC09	USD	1,050.98	USD	1,379.91	USD	784.88
LB 2Y USD Range Accrual Note \$804 25OCT09	X90326860307	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	500,000.00	USD	55,151.51	25OCT09	USD	455.17	USD	597.63	USD	339.93
LB 2Y USD Range Accrual Note \$800 23NOV09	X90331400027	CA73830	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	130,000.00	USD	61,268.90	23NOV09	USD	328.38	USD	428.68	USD	251.70
LB 2Y HKD Range Accrual Note \$797 27OCT09	X90326860307	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	70,000.00	USD	39,513.47	27OCT09	USD	338.38	USD	444.28	USD	252.70
LB 2Y USD Range Accrual Note \$889 23NOV09	X90331769454	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	400,000.00	USD	31,717.52	23NOV09	USD	271.79	USD	356.85	USD	202.97
LB 2Y USD Range Accrual Note \$954 29DEC09	X90326860307	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	40,000.00	USD	23,650.48	29DEC09	USD	197.39	USD	259.17	USD	147.41
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326860307	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	190,000.00	USD	36,640.17	27OCT09	USD	742.93	USD	974.27	USD	554.16
LB 2Y USD Range Accrual Note \$891 23NOV09	X90331400530	CA73895	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	USD	160,000.00	USD	63,781.81	23NOV09	USD	546.29	USD	717.15	USD	407.91
LB 2Y HKD Range Accrual Note \$779 22OCT09	X90326026498	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,000,000.00	USD	69,601.53	22OCT09	USD	570.35	USD	748.86	USD	425.95
LB 2Y HKD Range Accrual Note \$779 22OCT09	X90326026498	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	HKD	1,000,000.00	USD	79,343.80	22OCT09	USD	679.47	USD	892.13	USD	507.44

Schedule 2

Copies of LBHI Proofs of Claim

DB Ref: 19881

<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al 08-13555 (JMP) 0000047374	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) LO WING HEI FLAT F, 13/FL, BLOCK III, KORNHILL GARDEN, 1114 KING'S ROAD, HONG KONG. Telephone number: 23630281 Email Address:		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: _____ (If known)  Filed on: _____	
Name and address where payment should be sent (if different from above)  Telephone number: _____ Email Address: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 500,000 (equivalent to USD at the conversion rate of USD/HKD 7.7904 as at 15 September 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0335743398 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your account holder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA50781 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your account holder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Account holders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: OCT 24, 2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  Lo Mei Lo Mei		
		<b>FOR COURT USE ONLY</b>  <b>FILED / RECEIVED</b>  OCT 26 2009  EPIQ BANKRUPTCY SOLUTIONS, LLC	



#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

*The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.*

##### **Creditor's Name and Address:**

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

##### **Date and Signature:**

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

#### DEFINITIONS

##### **Debtor**

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

##### **Creditor**

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

##### **Claim**

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

##### **Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

**Lehman Brothers Holdings Claims Processing**  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150-5076

##### **Lehman Programs Security**

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

#### INFORMATION

##### **Acknowledgment of Filing of Claim**

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

##### **Offers to Purchase a Claim**

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

## Royal Preferred Banking



ABN AMRO Bank N.V.

23 October 2009

Ref No. POA\_0000110154

TO WHOM IT MAY CONCERN:

**Re: Confirmation on the Power of Attorney**

This letter confirms that LO WING HEI has appointed LO MEI as its/their Attorney(ies) pursuant to a Power Attorney, and such Attorney(ies) are therefore authorized to sign the Lehman Program Securities Proof of Claim Form on behalf of LO WING HEI.

Yours faithfully,

ABN AMRO Bank N.V.



敬啟者：

確認簽署授權書


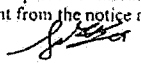
此信函茲確認 LO WING HEI 已授權委託 LO MEI 作為授權人則授權代表 LO WING HEI 簽署雷曼證券計劃索償證明表格 (Lehman Program Securities Proof of Claim Form)。

荷蘭銀行  
謹啟

2009年10月23日



# EXPRESS ENVELOPE

<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047380	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) LAU SI WAI RM 101, BLK 45, HENG FA CHUEN, CHAI WAN, HONG KONG Telephone number: (852) 51055631, (86) 1393949357 Email Address: <a href="mailto:psychel18@yahoo.com.hk">psychel18@yahoo.com.hk</a>		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name and address where payment should be sent (if different from above) Telephone number: _____ Email Address: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 500,000 (equivalent to USD 64,181.56 at the conversion rate of USD/HKD 7.7904 as at September 15, 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0325786084 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA73888 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: Oct/23/2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  LAU SI WAI		<b>FOR COURT USE ONLY</b> <b>FILED / RECEIVED</b> OCT 26 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571			

#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

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c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150-5076

##### Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

#### INFORMATION

##### Acknowledgment of Filing of Claim

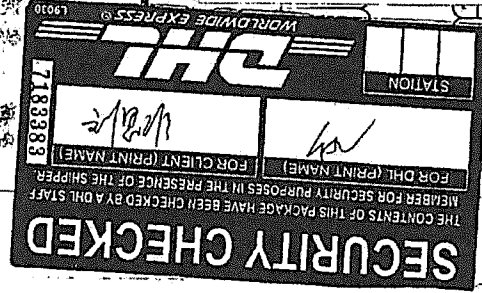
To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

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3320 4591 EXPRESS

REMOVE TO EXPOSE ADHESIVE



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EXPRESS

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SHIP HAK

332 4579 160

Waybill No. 332457160  
Sender's Reference: 0059-10-22 2009  
EPI BANKRUPTCY SOLUTIONS LLC

1/1

SHIPMENT WEIGHTS  
Total weight of packages 1 0.5  
Gross contents and quantity 10C

DECLARATION OF CONTENTS  
1. Description of contents  
2. Value for customs  
3. Shipper's VAT/ID number  
4. Shipper's signature  
5. Receiver's signature  
6. Date of shipment  
7. Country of origin  
8. Country of destination  
9. Shipper's contact information  
10. Receiver's contact information  
11. Shipper's phone number  
12. Receiver's phone number  
13. Shipper's fax number  
14. Receiver's fax number  
15. Shipper's email address  
16. Receiver's email address  
17. Shipper's website  
18. Receiver's website  
19. Shipper's address  
20. Receiver's address  
21. Shipper's city  
22. Receiver's city  
23. Shipper's state  
24. Receiver's state  
25. Shipper's zip code  
26. Receiver's zip code  
27. Shipper's country  
28. Receiver's country  
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94. Receiver's city  
95. Shipper's state  
96. Receiver's state  
97. Shipper's zip code  
98. Receiver's zip code  
99. Shipper's country  
100. Receiver's country

SHIPPER'S SIGNATURE  
RECEIVER'S SIGNATURE  
DATE OF SHIPMENT  
COUNTRY OF ORIGIN  
COUNTRY OF DESTINATION  
SHIPPER'S CONTACT INFORMATION  
RECEIVER'S CONTACT INFORMATION  
SHIPPER'S PHONE NUMBER  
RECEIVER'S PHONE NUMBER  
SHIPPER'S FAX NUMBER  
RECEIVER'S FAX NUMBER  
SHIPPER'S EMAIL ADDRESS  
RECEIVER'S EMAIL ADDRESS  
SHIPPER'S WEBSITE  
RECEIVER'S WEBSITE  
SHIPPER'S ADDRESS  
RECEIVER'S ADDRESS  
SHIPPER'S CITY  
RECEIVER'S CITY  
SHIPPER'S STATE  
RECEIVER'S STATE  
SHIPPER'S ZIP CODE  
RECEIVER'S ZIP CODE  
SHIPPER'S COUNTRY  
RECEIVER'S COUNTRY

Lehman Brothers Holdings  
Claims Processing  
757 Third Avenue 3rd Floor  
New York, NY 10017  
USA

10C17


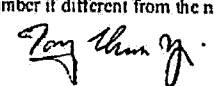
USA

PHONE: 1-800-451-4517

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EXPRESS ENVELOPE

<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047501	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) TONG CHUN YI BLK 61, #12-02, THE TROPICA TAMPINES AVE 1 SINGAPORE S29776 Telephone number: (65) 65993962 / 90239563		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name and address where payment should be sent (if different from above) WITH NOTICE COPY TO:- MR. TONG WAH MAN FLAT 2, 10, F., BLOCK C 6 BOARDWALK ROAD, HONG KONG Email Address: stanley.kp.chu@gmail.com		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Telephone number:	Email Address:		
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 300000 (equivalent to USD 38508.934073 at the conversion rate of USD/HKD 7.7904 at of 15 September 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0329337348 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA55313 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: 22 OCT 09	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  TONG CHUN YI (MISS)		<b>FOR COURT USE ONLY</b> <b>FILED / RECEIVED</b> OCT 27 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571			



**EXTREMELY URGENT**

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DOCUMENTS ONLY. DO NOT SEND CASH, CASH EQUIVALENT,  
JEWELLERY, LIQUID, OR BLOOD IN THIS PACKAGING.

Backup Document -- Please Place on Package  
TR#12 REG 60R 04 5413 7484  
SNP#REG40 DRJW 327

ROBERTI MARCUFFE  
 +65 6214 8839  
 OPEX WORLDWIDE EXPRES PTE LTD  
 1 CHANDI SOUTH BRIDGE 1  
 SINGAPORE 61014 455187  
 SINGAPORE  
 SHIPP: 9446 DRURY 317  
 DATE: 23 OCT 2008

SHIP TO:  
LEHMANS BROTHERS HOLDING CLAIM PROC

1000 000-0000  
EPIQ BANKRUPTCY SOLUTION LLC

SHD FLOOR,  
767 THIRD AVENUE  
NEW YORK, N

NEW YORK  
UNITED STATES

[illegible]

NY 100 7-02

**UPS SAVER**

TRACKING #: 12 R64 60R 04 5413 7484

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ED1--DOC

Invoice No.: 200060011082

10.0 64 44610. 17 454 00.03 10/1000

Name & Address of Creditor

TONG CHUN YI

Block 61 #12-02 The Tropica

Tampines Ave 1

Telephone: (65) 6588 3962 / (65) 9023 9563

Email address: stanley.kp.chu@gmail.com

with notice copy to:-

TONG WAI MAN

Flat 2, 10<sup>th</sup> floor, Block C

6 Boardwood R

1 of 8

<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047608	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) LI XIAORU FLAT 2D, ROBINSON GARDEN APARTMENT 3 ROBINSON ROAD HONG KONG 852-25305969 Telephone number: 852-94621312 Email Address: young.kara@gmail.com rupert.li@cliffordchance.com		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: _____ (If known)  Filed on: _____	
Name and address where payment should be sent (if different from above)  Telephone number: _____ Email Address: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds USD 120,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0331400027 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA46569 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p> <p>Date: Oct 23, 2009 Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.</p>			
		<b>FOR COURT USE ONLY</b>  <b>FILED / RECEIVED</b>  OCT 27 2009  EPIQ BANKRUPTCY SOLUTIONS, LLC	

**Royal Preferred Banking**



**ABN AMRO Bank N.V.**

12 October 2009

Ref No. POA\_0000002870

TO WHOM IT MAY CONCERN:

**Re: Confirmation on the Power of Attorney**

This letter confirms that LI XIAO RU has appointed YOUNG KARA LOUISE as its/their Attorney(ies) pursuant to a Power Attorney, and such Attorney(ies) are therefore authorized to sign the Lehman Program Securities Proof of Claim Form on behalf of LI XIAO RU.

Yours faithfully,

ABN AMRO Bank N.V.

敬啟者：

**確認簽署授權書**

此信函茲確認 LI XIAO RU 已授權委託 YOUNG KARA LOUISE 作為授權人則授權代表 LI XIAO RU 簽署雷曼證券計劃索償證明表格 (Lehman Program Securities Proof of Claim Form)。

荷蘭銀行  
謹啟

2009 年 10 月 12 日

SHIPMENT AWW 10:

DESTINATION:  
OR

EXPRESS WORLDWIDE (D)  
EC 10.8.1

DOX - DHL

10017 NEW YORK, UNITED STATES OF AM

US - ZYP

Originals  
HKG


SHIPMENT NO.: 198508001 ECN - 10 - 22 Regt Weight 0.8 kg Plus 1/1

POSTIP CODE:

WAYBILL 19 8508 6861

ECJUS081744286808

198508 2948 5520 8863 563



**PLEASE**

Track the shipment on the DHL Web Site: <http://www.dhl.com>

**Shipment Air Waybill**

1-9-8-5-0-5-6-8-6-1

7-TORIGIN  
**HKG**

DESTINATION CODE  
**ZYP**

**1. Payee Account number and insurance details**

Charge to: ☐ Shipper ☐ Receiver ☐ 3rd party

Payee Account No. \_\_\_\_\_

Shipment Insurance: ☐ No ☐ Yes

**2. From (Shipper)**

Shipper's account number  
**630035867**

Shipper's reference (up to 32 characters but only first 17 are delivered on invoices)

**3. To (Receiver)**

Company name  
**BRECON LTD/ CLIFFORD CHANCE**

Address  
**29/F JARDINE HSE  
1 CONNAUGHT PLACE  
HONG KONG**

Postcode/Zip Code (required)

Phone, Fax or e-mail (required)  
**2825-8880**

**4. Shipment details**

Total packages	Total Weight	Dimensions (cm)
		Length Width Height
1	0.5	

**5. Full description of contents**

Unit: Collect and parcels

Documents

**6. Outable shipments only (WPX) (Customs Requirements)**

Shipper's VAT/GST number \_\_\_\_\_ Receiver's VAT/GST number **ENUSIN**

Declared Value for Customs (in US commercial currency) \_\_\_\_\_ Harmonized Commodity Code (4 digit code)

**7. Shipper's agreement (Signature required)**

I hereby declare that the goods are in accordance with the description and weight and that the goods are in accordance with the description and weight and that the goods are in accordance with the description and weight.

Signature: *[Signature]* Date: **23/10/99**

**8. Services**

Signature: *[Signature]*

**9. Charges**

Signature: *[Signature]*


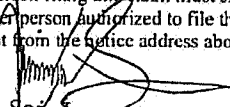
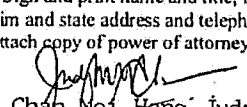
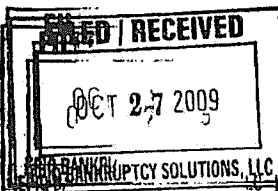
**10. Transport**

Signature: *[Signature]*

**EXTREMELY URGENT**

**DO NOT SEND CASH, CASH EQUIVALENT OR JEWELLERY.**

DHL's liability shall not exceed US \$ 100 for any shipment. See conditions of carriage on the



<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047619	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) MUI SAI LEUNG & CHAN MEI HANG, JUDY Room 1101, 11/F, Sino Center, 582-592 Nathan Road, Mongkok, Kowloon, Hong Kong		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: _____ (if known)  Filed on: _____	
Telephone number: (852) 2783-0303 Email Address: <a href="mailto:topmake@netvigator.com">topmake@netvigator.com</a>			
Name and address where payment should be sent (if different from above)  Same as above		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Telephone number: _____ Email Address: _____			
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 5,630,000 (equivalent to USD 722,684.33 at the conversion rate of USD/HKD 7.7904 as at September 15, 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0326608352 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA31683 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: 20 Oct 2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.   Mui Sai Leung   Chan Mei Hang Judy		FOR COURT USE ONLY   EPIQ BANKRUPTCY SOLUTIONS, LLC

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REMOVE TO EXPOSE ADHESIVE

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**EXPRESS ENVELOPE**

<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047741	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-claimet.com">http://www.lehman-claimet.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) TONG CHUN YI BLK 61 #12-02, THE TROPICA TAM PINES AVE 1 SINGAPORE 529776 Telephone number: 65765893962/90239563		WITH NOTICE COPY TO:- MR. TONG WAH MAN FLAT 2, 10 F, BLK C 6 BOARDWOOD ROAD, HONG KONG Email Address: stanley.kp.chu@gmail.com	
Name and address where payment should be sent (if different from above)		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: _____ (If known)	<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone number: _____ Email Address: _____		Filed on: _____	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.  Creditor holds USD 80000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.  Amount of Claim: \$ _____ (as statement above) (Required)			
<input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.			
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.  International Securities Identification Number (ISIN): XS0326026225 (Required)			
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.  Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA55055 (Required)			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.  Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)			
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.		<b>FOR COURT USE ONLY</b> <div style="border: 2px solid black; padding: 10px; margin: 0 auto; width: 150px;"> <b>FILED / RECEIVED</b>                   OCT 27 2009                   EPIQ BANKRUPTCY SOLUTIONS, LLC             </div>	
Date:  22 OCT 09	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  <div style="text-align: center;">                   TONG CHUN YI (MISS)             </div>		
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571			



Name & Address of Creditor

TONG CHUN YI  
Block 61 #12-02 The Tropica  
Tampines Ave 1  
SINGAPORE 529776

Telephone: (65) 6588 3962 / (65) 9023 9563

Email address: stanley.kp.chu@gmail.com

with notice copy to:-

TONG WAI MAN  
Flat 2, 10<sup>th</sup> floor, Block C  
6 Boardwood Road  
HONG KONG



▶ EASY-OPEN STRIP

**DOCUMENTS ONLY. DO NOT SEND CASH, CASH EQUIVALENT,  
JEWELLERY, LIQUID, OR BLOOD IN THIS PACKAGING.**

Security Document - Photo Place on Page 60  
TFR: 1Z R64 60R 06 6413 7484  
SHIP: R646 ORJW SL7

SHIP TO: EMMANS BROTHERS HOLDING CLAIM PROC

SHIP CO.  
TEHMANS BROTHERS HOLDING CLAIM FOR

(800) 808-0000  
 EPIC BANKRUPTCY SOLUTION LLC  
 8RD FLOOR  
 757 THIRD AVENUE  
 NEW YORK NY 10017  
 UNITED STATES

NY 100-7-02

**UPS SAVER**

TRACKING #: 12 REG 60R.04 6413 7484

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1. The first part of the document is a list of names and addresses, which are arranged in two columns. The names are written in a cursive script, and the addresses are written in a more formal, printed style. The list includes names such as "John Smith", "Mary Jones", and "Robert Brown", along with their respective addresses in various cities and states.

2. The second part of the document is a series of numbered entries, each followed by a description of a property or item. The numbers are written in a cursive script, and the descriptions are written in a more formal, printed style. The entries include details such as the location of the property, its size, and its value.

3. The third part of the document is a series of numbered entries, each followed by a description of a property or item. The numbers are written in a cursive script, and the descriptions are written in a more formal, printed style. The entries include details such as the location of the property, its size, and its value.

4. The fourth part of the document is a series of numbered entries, each followed by a description of a property or item. The numbers are written in a cursive script, and the descriptions are written in a more formal, printed style. The entries include details such as the location of the property, its size, and its value.

5. The fifth part of the document is a series of numbered entries, each followed by a description of a property or item. The numbers are written in a cursive script, and the descriptions are written in a more formal, printed style. The entries include details such as the location of the property, its size, and its value.

**EDI-DOC**

Invoice No.: 200050011092

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**DELIVERIES TO PO BOX ADDRESSES  
WILL ONLY BE ACCEPTED**

## EXPORT CHECK

**SHIPPER**  
INCLUDE YOUR COMPANY  
NAME AND ADDRESS  
NOT FORGET TO INCLUDE  
CITY AND STATE

**SIGNATURE**

**RESTRICTED TO ALLIATA RESTRICTED THESE SERVICES THORON PRESCRIPTION LIQUOR, TOBACCO, PERISHABLES.**

**RESTRICTED**


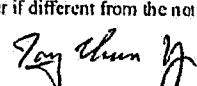
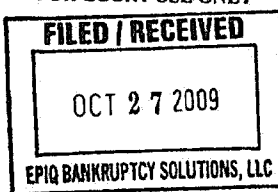
ALL IATA RESTRICTED  
THESE SERVICES THRU  
OR NON-PRESCRIPT  
LIQUOR, TOBACCO,  
PERISHABLES.

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Unit:

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United States Bankruptcy Court/Southern District of New York		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al, 08-13555 (JMP) 0000047742	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.	
TONG CHUN YI BLK 61 #12-02, THE TROPIC TAMPINES AVE 1 SINGAPORE 529776 Telephone number: (65) 65993962 / 90239563		WITH NOTICE COPY TO:- MR. TONG WHI MAN FLAT 2, 10, F, BLK C, 6 BROADWOOD ROAD, HONG KONG Email Address: Stanley.kp.chu@gmail.com	
Name and address where payment should be sent (if different from above)		Court Claim Number: _____ (If known) Filed on: _____	
Telephone number: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Email Address: _____			
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 2100000 (equivalent to USD 269562.53851 at the conversion rate of USD/HKD 7.7904 at of 15 September 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0326866307 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA55282 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: 22 OCT 09	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  TONG CHUN YI (MISS)		FOR COURT USE ONLY  OCT 27 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571			

Name & Address of Creditor

TONG CHUN YI  
Block 61 #12-02 The Tropica  
Tampines Ave 1  
SINGAPORE 529776


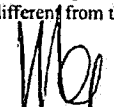
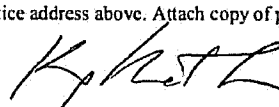
Telephone: (65) 6588 3962 / (65) 9023 9563

Email address: stanley.kp.chu@gmail.com


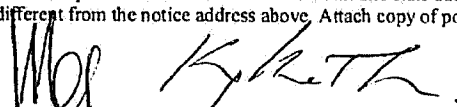
with notice copy to:-

TONG WAI MAN  
Flat 2, 10<sup>th</sup> floor, Block C  
6 Boardwood Road  
HONG KONG



United States Bankruptcy Court/Southern District of New York		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047750	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) KUNG SHUET SUM & CHAU FOO SHING FLAT D Q E, 10/F TOWER 3, DEER HILL TOWER DEER HILL BAY, TAI PO, N.T. HONG KONG.		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: _____ (If known)  Filed on: _____	
Telephone number: 94848001 279866 Email Address: cwkchau@hotmail.com		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Name and address where payment should be sent (if different from above)			
Telephone number:		Email Address:	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 400,000 (equivalent to USD 51,345.25 at the conversion rate of USD/HKD 7.7904 at of 15 September 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0326026498 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA90350 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p> <p>Date: 23 Oct. 09 Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.</p> <p> </p>			
		FOR COURT USE ONLY FILED / RECEIVED OCT 27 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC	


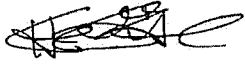


<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047751 	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) KUNG SHUET SUM & CHAU FOO SHING FLAT D & E, 10/F. TOWER 3, DEER HILL TOWER DEER HILL BAY, TAI PO, N.T. HONG KONG.		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Telephone number: 9444 8001 279444 Email Address: cwkchau@hotmail.com		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Name and address where payment should be sent (if different from above)			
Telephone number: _____ Email Address: _____			
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds USD 50,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0331400027 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA90359 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: 23 Oct. 09	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. 		
<b>FOR COURT USE ONLY</b> <b>FILED / RECEIVED</b> OCT 27 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC			







<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM</b>	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.		Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000047753 	
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) YU FUNG CHU Flat C, 4 <sup>th</sup> floor Block 16 Hong Kong Garden Tsing Lung Tau, New Territories, Hong Kong		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: _____ (If known)  Filed on: _____	
Telephone number: 852-96620099 Email Address: <del>meiyanyu168@yahoo.com.hk</del> meiyanyu168@yahoo.com.hk		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Name and address where payment should be sent (if different from above)  Telephone number: _____ Email Address: _____			
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 500,000 (equivalent to USD 64,181.56 at the conversion rate of USD/HKD 7.7904 as at September 15, 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0335743398 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA55026 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p> <p>Date: _____ Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.</p> <p></p>			
		<b>FOR COURT USE ONLY</b> <b>FILED / RECEIVED</b> <b>OCT 27 2009</b> EPIQ BANKRUPTCY SOLUTIONS, LLC	

[illegible]

Schedule 3

Schedule of 7<sup>th</sup>, 8<sup>th</sup>, 9<sup>th</sup>, and 10<sup>th</sup> Distributions Received From Lehman Brothers Treasury BV

DB Ref 19881

Description of Security	ISIN/CUSIP	Issuer	Principal/Notional Amount	Admissible Amount	Issue Notional	Maturity	LB 7th Distribution	LB 8th Distribution	LB 9th Distribution	LB 7th Distribution
LB 2Y AUD Range Accrual Note \$830.00NOV09	XN9327940929	Lehman Brothers Treasury Co BV	AUD 1,770,000.00	AUD 663,355.35	AUD 2,310,000.00	05NOV09	AUD 10,361.73	AUD 13,885.35	AUD 13,885.35	AUD 7,733.50
LB 2Y HKD Range Accrual Note \$776.25OCT09	XN9325786088	Lehman Brothers Treasury Co BV	HKD 14,240,000.00	HKD 1,447,329.19	HKD 27,360,000.00	23OCT09	HKD 89,491.07	HKD 117,437.92	HKD 117,437.92	HKD 5,072.82
LB 2Y HKD Range Accrual Note \$779.25OCT09	XN9326026498	Lehman Brothers Treasury Co BV	HKD 12,300,000.00	HKD 1,457,329.40	HKD 21,150,000.00	23OCT09	HKD 101,448.99	HKD 133,242.60	HKD 133,242.60	HKD 51,604.35
LB 2Y HKD Range Accrual Note \$799.25OCT09	XN9326608352	Lehman Brothers Treasury Co BV	HKD 22,540,000.00	HKD 1,491,243.85	HKD 31,790,000.00	27OCT09	HKD 125,592.11	HKD 164,952.05	HKD 164,952.05	HKD 63,484.91
LB 2Y HKD Range Accrual Note \$817.00NOV09	XN9327859301	Lehman Brothers Treasury Co BV	HKD 9,830,000.00	HKD 1,183,527.62	HKD 17,940,000.00	27OCT09	HKD 77,029.98	HKD 101,170.79	HKD 101,170.79	HKD 30,182.38
LB 2Y HKD Range Accrual Note \$840.00NOV09	XN9329437348	Lehman Brothers Treasury Co BV	HKD 4,440,000.00	HKD 488,596.61	HKD 8,110,000.00	02NOV09	HKD 31,773.34	HKD 41,739.95	HKD 41,739.95	HKD 16,161.61
LB 2Y HKD Range Accrual Note \$902.30NOV09	XN9332152807	Lehman Brothers Treasury Co BV	HKD 9,090,000.00	HKD 966,134.64	HKD 22,070,000.00	30NOV09	HKD 47,129.09	HKD 61,899.11	HKD 61,899.11	HKD 23,970.33
LB 2Y HKD Range Accrual Note \$936.14DEC09	XN9334492860	Lehman Brothers Treasury Co BV	HKD 8,410,000.00	HKD 802,157.75	HKD 21,860,000.00	14DEC09	HKD 57,883.08	HKD 76,023.35	HKD 76,023.35	HKD 29,446.48
LB 2Y HKD Range Accrual Note \$947.21DEC09	XN9335743398	Lehman Brothers Treasury Co BV	HKD 14,560,000.00	HKD 976,670.55	HKD 27,720,000.00	21DEC09	HKD 73,507.11	HKD 101,707.36	HKD 101,707.36	HKD 39,428.48
LB 2Y HKD Range Accrual Note \$985.18JAN10	XN9339560376	Lehman Brothers Treasury Co BV	HKD 13,630,000.00	HKD 724,123.67	HKD 20,660,000.00	18JAN10	HKD 58,442.39	HKD 76,757.95	HKD 76,757.95	HKD 29,327.03
LB 1Y HKD Daily Callable Fixed Coupon Note \$978.02MAY09	XN9348407411	Lehman Brothers Treasury Co BV	HKD 18,350,000.00	HKD 1,440,480.25	HKD 27,720,000.00	18JAN10	HKD 58,442.39	HKD 76,757.95	HKD 76,757.95	HKD 29,327.03
LB 1Y HKD Daily Callable Fixed Coupon Note \$978.02MAY09	XN9349512250	Lehman Brothers Treasury Co BV	HKD 13,570,000.00	HKD 1,343,007.21	HKD 27,720,000.00	02MAY09	HKD 108,479.27	HKD 142,476.14	HKD 142,476.14	HKD 55,173.95
LB 2Y USD Range Accrual Note \$778.25OCT09	XN9325841368	Lehman Brothers Treasury Co BV	USD 1,220,000.00	USD 1,009,984.70	USD 2,380,000.00	23OCT09	USD 7,904.34	USD 10,417.87	USD 10,417.87	USD 4,034.54
LB 2Y USD Range Accrual Note \$797.25OCT09	XN9326026225	Lehman Brothers Treasury Co BV	USD 6,300,000.00	USD 835,417.43	USD 11,850,000.00	23OCT09	USD 6,809.73	USD 8,975.19	USD 8,975.19	USD 3,475.71
LB 2Y USD Range Accrual Note \$806.27OCT09	XN9326608436	Lehman Brothers Treasury Co BV	USD 2,440,000.00	USD 1,402,407.79	USD 3,850,000.00	27OCT09	USD 13,569.73	USD 17,884.84	USD 17,884.84	USD 6,927.16
LB 2Y USD Range Accrual Note \$804.29OCT09	XN9326708491	Lehman Brothers Treasury Co BV	USD 860,000.00	USD 564,605.14	USD 1,550,000.00	27OCT09	USD 4,782.77	USD 6,303.67	USD 6,303.67	USD 2,441.54
LB 2Y USD Range Accrual Note \$802.02NOV09	XN9327465430	Lehman Brothers Treasury Co BV	USD 740,000.00	USD 429,665.33	USD 1,220,000.00	29OCT09	USD 3,978.96	USD 5,244.35	USD 5,244.35	USD 3,600.18
LB 2Y USD Range Accrual Note \$836.06NOV09	XN9328586615	Lehman Brothers Treasury Co BV	USD 30,000.00	USD 409,964.90	USD 940,000.00	02NOV09	USD 169.76	USD 264.28	USD 264.28	USD 101.97
LB 2Y USD Range Accrual Note \$877.23NOV09	XN9331400927	Lehman Brothers Treasury Co BV	USD 1,420,000.00	USD 792,160.35	USD 1,670,000.00	06NOV09	USD 10,427.95	USD 13,743.99	USD 13,743.99	USD 5,322.24
LB 2Y USD Range Accrual Note \$890.23NOV09	XN9331400950	Lehman Brothers Treasury Co BV	USD 2,020,000.00	USD 1,261,415.33	USD 2,800,000.00	23NOV09	USD 15,237.50	USD 20,082.95	USD 20,082.95	USD 7,777.00
LB 2Y USD Range Accrual Note \$877.23NOV09	XN9331504745	Lehman Brothers Treasury Co BV	USD 690,000.00	USD 745,425.94	USD 1,410,000.00	23NOV09	USD 5,569.32	USD 7,340.34	USD 7,340.34	USD 2,842.80
LB 2Y USD Range Accrual Note \$888.23NOV09	XN9331769454	Lehman Brothers Treasury Co BV	USD 2,550,000.00	USD 3,153,300.36	USD 5,780,000.00	23NOV09	USD 21,239.36	USD 27,993.64	USD 27,993.64	USD 10,840.03
LB 2Y USD Range Accrual Note \$948.21DEC09	XN9336259229	Lehman Brothers Treasury Co BV	USD 2,780,000.00	USD 2,470,391.22	USD 4,310,000.00	21DEC09	USD 24,327.71	USD 32,063.79	USD 32,063.79	USD 12,418.20
LB 2Y USD Range Accrual Note \$949.17DEC09	XN9336415913	Lehman Brothers Treasury Co BV	USD 1,590,000.00	USD 929,647.31	USD 3,380,000.00	17DEC09	USD 6,676.77	USD 8,799.95	USD 8,799.95	USD 3,407.37
LB 2Y USD Range Accrual Note \$954.29DEC09	XN9336645089	Lehman Brothers Treasury Co BV	USD 1,460,000.00	USD 837,356.14	USD 2,660,000.00	17DEC09	USD 9,660.74	USD 11,942.02	USD 11,942.02	USD 4,625.28
LB 2Y USD Range Accrual Note \$959.29DEC09	XN9336927909	Lehman Brothers Treasury Co BV	USD 2,170,000.00	USD 808,152.55	USD 2,550,000.00	29DEC09	USD 9,736.17	USD 12,812.33	USD 12,812.33	USD 4,969.30
LB 2Y USD Range Accrual Note \$970.31DEC09	XN9337555688	Lehman Brothers Treasury Co BV	USD 2,250,000.00	USD 2,080,010.87	USD 3,100,000.00	29DEC09	USD 22,398.83	USD 29,921.54	USD 29,921.54	USD 11,432.25
LB 2Y USD Range Accrual Note \$984.18JAN10	XN9338523172	Lehman Brothers Treasury Co BV	USD 1,410,000.00	USD 571,256.57	USD 2,110,000.00	31DEC09	USD 6,848.46	USD 9,024.24	USD 9,024.24	USD 3,405.30
LB 2Y USD Range Accrual Note \$984.18JAN10	XN9339560293	Lehman Brothers Treasury Co BV	USD 440,000.00	USD 181,498.22	USD 580,000.00	04JAN10	USD 2,102.16	USD 2,770.63	USD 2,770.63	USD 1,073.16
LB 2Y USD Range Accrual Note \$984.18JAN10	XN9341160052	Lehman Brothers Treasury Co BV	USD 970,000.00	USD 778,041.43	USD 2,750,000.00	18JAN10	USD 4,189.96	USD 5,522.34	USD 5,522.34	USD 2,138.85
LB 1Y USD Daily Callable Fixed Coupon Note \$981.05MAY09	XN9349511872	Lehman Brothers Treasury Co BV	USD 540,000.00	USD 574,563.79	USD 2,640,000.00	25JAN10	USD 2,322.04	USD 3,060.44	USD 3,060.44	USD 1,185.30
LB 1Y USD Daily Callable Fixed Coupon Note \$993.11MAY09	XN9350571377	Lehman Brothers Treasury Co BV	USD 2,830,000.00	USD 1,695,334.57	USD 4,280,000.00	05MAY09	USD 17,142.57	USD 22,556.91	USD 22,556.91	USD 8,736.21
LB 2.75Y CNY Booster Himalaya Note - USD 22MAY11	XN9360799845	Lehman Brothers Treasury Co BV	USD 290,000.00	USD 382,166.57	USD 960,000.00	11MAY09	USD 1,762.57	USD 2,323.06	USD 2,323.06	USD 899.58
			USD 285,000.00	USD 4,882,088.75	USD 7,150,000.00	22MAY11	USD 2,971.07	USD 3,915.85	USD 3,915.85	USD 1,516.40